



**NSF-ISR, LTD
SURVEILLANCE AUDIT REPORT**

A. Program Participant's Name: Wisconsin DNR State Forest System

B. Operation(s) within the scope of SFIS Surveillance Audit:

FRS #1 : <u>1Y941</u>	FRS#2 _____
Location: <u>Madison, WI</u>	Location: _____
FRS #3: _____	FRS #4: _____
Location: _____	Location: _____

C. NSF Audit Team:

Lead Auditor: Mike Ferrucci Auditor: Dr. Robert Hrubec

D. Audit Date(s): October 12-14, 2004

E. Scope:

- No Change
- Changed (see Section H, revised scope statement noted on FRS)

F. Reference Documentation:

2002-2004 SFI Standard®
Company SFI Documentation: _____ Rev. Level: 2002-2004 Date Revised: June 2002

G. Audit Results: Based on the results at this visit, the auditor concluded

- Acceptable with no nonconformances; or
- Acceptable with minor nonconformances that should be corrected before the next regularly scheduled surveillance visit;
- Not acceptable with one or two major nonconformances - corrective action required;
- Several major nonconformances - the certification may be canceled unless immediate action is taken

Company Representative: _____ Date: October 14, 2004

NSF-ISR Representative: _____ Date: October 14, 2004

This acknowledges the NSF-ISR Audit Team's visit to this location and the SFI Program Participant's receipt of this report.

H. Changes to Operations or to the SFI Standard

Are there any significant changes in operations, procedures, specifications, FRS, etc. from the previous visit?

- Yes
- No

If yes, provide details of the changes:

NA, although there are many modest changes (refer to Appendix V: Audit Matrix)

I. Corrective Action Requests: (see Appendix IV)

Correct Action Requests Issued this visit:

None. Note five existing CARs were not closed, but progress has been made on all (refer to Appendix V: Audit Matrix).

- New Corrective Action Plan is not required.
- Corrective Action Plan is required within sixty days of this visit (for Minor Nonconformances). CARs will be verified during the next Surveillance Audit.
- Corrective Action Plan is required within thirty days of this visit (for Major Nonconformances). The auditor will make arrangements to verify the corrective action has been effectively implemented. All major nonconformance(s) must be closed by the auditor prior to the next scheduled surveillance audit by a special verification visit or by desk review, if possible.

Any Corrective Action Plans should be mailed to:

NSF-ISR, Ltd.
Auditor Name: Mike Ferrucci
Address: 175 North Main Street, Branford, CT 06405

At the conclusion of this Surveillance Audit visit, the following number of CARs remain open:

MAJOR(S) : _____ MINOR(S): 5

In addition, no new Opportunity(ies) For Improvement (OFIs) were identified.

Appendices:

- Appendix I: Surveillance Audit Schedule and Notification Letter
- Appendix II: Agreement to Not Consult and to Not Disclose
- Appendix III: Attendance Sheets
- Appendix IV: Corrective Action Requests
- Appendix V: Audit Matrix



Surveillance Audit Schedule and Notification Letter



October 10, 2004

Paul Pingrey
Bureau of Forest Management
WI Department of Natural Resources
PO Box 7921, Madison, WI 53707-7921

Re: Confirmation of SFI Standard Surveillance Audit

Dear Mr. Pingrey:

I am scheduled to conduct the Surveillance Audit of the Wisconsin State Forest System on October 11 to 14, 2004. This is a partial review of your SFI Program to confirm that it continues to be in conformance with the SFI Standard and that continual improvement is being made.

The audit team will consist of Mike Ferrucci, NSF-ISR Lead Auditor and Robert Hrubes, Team Member.

During the audit we will:

1. review progress on achieving SFI objectives and performance measures and the results of the management review of your SFI Program;
2. review selected components of your SFI program
3. verify effective implementation of the corrective action plans from the previous NSF audit; and
4. evaluate the effectiveness of planned activities aimed at continual improvement of your SFI Program;

The enclosed tentative schedule outlines the topics I expect to review during this visit. It is based on our previous discussions and correspondence, and includes most of the information you have already provided to your team. The schedule can be adapted on-site to accommodate any special circumstances.

I look forward to visiting you and evaluating continual improvement in your SFI Program. If you have any questions regarding this planned audit, please contact me.

Sincerely yours,

Mike Ferrucci
Lead Auditor, NSF-ISR, Ltd.

Enclosure: Wisconsin State Forest Annual Surveillance 2004 Audit Plan

Wisconsin State Forest Annual Surveillance 2004 Audit Plan
Wisconsin State Forest System – Facility # 1Y941
Sustainable Forestry Initiative Standard (2002-2004)

NSF-ISR Audit Team : Mike Ferrucci, Dr. Robert Hrubes

10/11 (Monday) - Auditors arrive in Spooner.

9 p.m.: DNR provides a briefing on CAR progress to date.

10/12 (Tuesday) - Brule River State Forest - all day - Start Time: 8:30 A.M. (Lodging in Spooner - American Heritage Best Western)

10/13 (Wednesday) - Flambeau River State Forest - all day - 8:30 A.M. (Lodging in Spooner - American Heritage Best Western)

10/14 (Thursday) - Governor Knowles State Forest - 7 A.M. to about 11 A.M. Travel to Eau Claire, with exit report to Forestry Leadership Team about 1 p.m. to 3 p.m. Mike and Robert need to leave promptly at 3 p.m. in order to make travel connections.

Daily forest visits to include:

- Office briefing in morning (usually about 1.5 hours). Key forest management, recreation, wildlife/fishery and law enforcement personnel should be on hand.
- Field stops: active timber sales, recently closed sales, issues from initial audit.
- Special sites demonstrating recreation, historic, or ecological sites or management activities.

Information Needed:

Regarding information packets on the timber sales, property managers should include the following in the folders: 2460-1 Timber Sale notice & cutting report

- 2460-1A Timber Sale narrative
- Timber Sale map
- In addition, have a general timber sale prospectus available for one of your bid openings and an overall county map showing the location of the timber sales. Please prepare 6 copies of this material (for the audit team and DNR central office staff) and however many copies you feel you need for local staff. Whoever the lead person was on setting up each sale should be available (if possible) for the audit.

The following items have been received by both auditors:

1. List of timber sales (active and recently completed)
2. Timber sale contractor contact information (and other contractors)
3. Property Master Plans (hard copy for Governor Knowles and Flambeau River, Internet link for Brule)

Logistics

- Lodging in Spooner: American Heritage Best Western (M, T, W).
- Thursday exit report: The Plaza Hotel, 1202 W Clairemont Ave., Eau Claire (ph. 715-834-2559; www.plazaeauclaire.com).
- Forest Superintendents are to arrange box lunches for the auditors - 2 and central office staff - 4 (and local staff, if desired).
- State Forests should arrange 4x4 transportation for the auditors and central office staff if needed.

DNR Central Office contingent to include Bob Mather, Jim Warren, Teague Prichard and Paul Pingrey.

Issues to Be Covered During Audit

DNR Update Briefing, Opening Meeting Issues

- Changes to the Facility Record Sheet
- Changes/improvements to the SFI Program, changes in operations, or changes in scope since the certification audit (Verify SFI Documentation Revisions)
- Implementation of corrective action plans from the previous certification audit.

SFI Program Review Issues

Although any SFI requirement can be covered, the following are the focus of the audit:

Objective 1

- 4.1.1.1.1. Policies
- 4.1.1.1.4 Sustainability

Objective 2

- 4.1.2.1.1 Reforestation
- 4.1.2.1.2 Reporting
- 4.1.2.1.4 Forest & Soil Productivity
- 4.1.2.1.5 Forest Protection
- 4.1.2.1.6 Genetics & Biotechnology

Objective 3

- 4.1.3.1.1 Best Management Practices
- 4.1.3.1.2 Riparian Protection Measures
- 4.1.3.1.3 Research
- 4.1.3.1.4 Training

Objective 5

- 4.1.5.1.1 Policies
- 4.1.5.1.2 Clear-cut Size
- 4.1.5.1.3 “Green Up”
- 4.1.5.1.4 Landscape Diversity

SFI Program Review Issues (continued)

Objective 7

4.1.7.1.1 Efficient Utilization

Objective 8

4.2.1.1.2 Professionalism of Wood Producers

4.2.1.1.3 Annual Reporting

4.2.1.1.4 Use of Qualified Professionals

4.2.1.1.5 Communications

Objective 9

4.3.1.1.1 Report compliance with SFIS

Objective 10

4.3.2.1.1 Mechanisms for Public Outreach

4.3.2.1.2 Inconsistent Practices

Objective 11

4.4.4.1.1 Management Review System



Agreement to Not Consult and to Not Disclose



Attendance Sheets



Corrective Action Requests

Corrective And Preventive Action Request (CAR)

Company/Location: <u>Wisconsin DNR, Madison, WI</u>	Date: <u>12-02-03</u> FRS # <u>1Y941</u>
Auditor: <u>Mike Ferrucci</u>	Finding Number: <u>same</u>
Location of Finding: <u>Office, Madison WI</u>	CAR Number: <u>ORR-2003-01 revised</u>
Discussed with: <u>WI DNR Audit Team</u>	Previous CAR Number/Date: <u>ORR-2003-01 / 10-17-03</u>

AUDITOR FINDING: Standard Number and Clause: 4.1.1.1.1 CI1 & 3; 4.1.2.1.1 CI1; 4.1.3.1.1 CI1; 4.1.5.1.2 CI1; 4.2.1.1.2 CI1; 4.2.1.1.4 CI1 (all relating to policies).

(NSF-ISR Policy or Company procedure, if applicable)

Policies are not specific to all relevant SFI Objectives or Performance Measures including: general SFI policies and assignment of SFI roles and responsibilities (4.1.1.1.1 CI1 & 3); Policy on time frame for reforestation (4.1.2.1.1 CI1); Policy on BMP use for all phases of operations (4.1.3.1.1 CI1); Policy to report on clearcut size and number (4.1.5.1.2 CI1); Policy to support training of loggers (4.2.1.1.2 CI1); and Policy to promote the use of trained professionals (4.2.1.1.4 CI1).

Major Nonconformity

Minor Nonconformity

Company Representative's Signature: (Note: Copy signed by Robert Mather, WIDNR, is on file at NSF.)

IF NECESSARY, PLEASE ATTACH A SEPARATE REPORT ADDRESSING THE FOLLOWING THREE ITEMS:

1) ROOT CAUSE ANALYSIS BY COMPANY – Include potential causes and assurance that problem does not exist in other areas.

While it is understood that the WDNR Division of Forestry is implementing and achieving many of the SFIS Objectives and Performance Measures, there has been, to date, no need to have written policy to that effect. Similarly staff roles and responsibilities have not been identified and communicated since the Division is just now pursuing SFI Certification.

2) CORRECTIVE ACTION BY COMPANY – Based on the Root Cause Analysis, the following action has been planned/taken to correct the problem. Please include expected completion date.

The Division of Forestry will immediately apply to the AF&PA for SFI program participation. If accepted, the Division of Forestry will sign the license agreement upon the approval of the Wisconsin Natural Resources Board (NRB). The NRB resolution will confirm the Department's commitment to follow the 2002-2004 SFI Standard@ policies, objectives and performance measures. Within six months of certification, the WDNR Division of Forestry will develop and implement a State Forest handbook revision to clarify policy issues concerning reforestation, BMP use for operations, clear cut size and number, training loggers and promoting the use of trained professionals. The Division will update and incorporate staff roles and responsibilities for SFIS Objectives within the Division's consistency plan. In addition, the Forestry Division Leadership Team will work with The Regional Forestry Leaders to ensure that roles and responsibilities identified in the consistency plan are included in annual work plans, understood, implemented and reported. Long term, the Division will also seek an additional staff person to lead and coordinate efforts within a forest certification program through a 2005-2007 Budget Initiative.

3) PREVENTIVE ACTION BY COMPANY – Based on the Root Cause Analysis, the following action has been planned/taken to correct the problem. Please include expected completion date.

The Division, through the Forestry Leadership Team, will annually review the sustainable forestry policies as detailed in the State Forest handbook and the consistency plan and look for opportunities for improvement or adjustments to stay in line with SFI standards. Continually update staff work plans to further define roles and responsibilities. Provide initial SFI Program training to the State Forest staff during the fall 2004 all State Forest staff meeting. Preventive action will be continual and ongoing.

Company Representative's Signature: (Note: Copy signed by Robert Mather, WIDNR, is on file at NSF.) Date: _____

AUDITOR REVIEW OF COMPANY'S PLAN:

Comments: Plan meets identified issues, and will be reviewed during Surveillance Audit.

STATUS: OPEN AUDITOR/DATE: Mike Ferrucci 1-26-04

AUDITOR REVIEW OF COMPANY'S COMPLETED ACTION:

Comments: 2004 SA: Although progress has been made (DNR is now a licensee) some action items remain. The SA was optional and occurred before the one-year time period. This CAR is to be reviewed during next Surveillance Audit, scheduled for the summer of 2005. Some changes to SFIS requirements will take effect with the 2005-2009 SFIS.

STATUS: OPEN AUDITOR/DATE: Mike Ferrucci, 10-14-04

LEGEND FOR STATUS: OPEN = CA Plan Accepted

CLOSED = CA implemented, verified & accepted

REJECTED = C/A Plan or Implementation rejected

Corrective And Preventive Action Request (CAR)

Company/Location: <u>Wisconsin DNR, Madison, WI</u>	Date: <u>10-17-03</u> FRS # <u>1Y941</u>
Auditor: <u>Mike Ferrucci</u>	Finding Number: <u>same</u>
Location of Finding: <u>Office, Madison WI</u>	CAR Number: <u>ORR-2003-02</u>
Discussed with: <u>WI DNR Audit Team</u>	Previous CAR Number/Date: _____

AUDITOR FINDING: Standard Number and Clause: 4.2.1.1.5 Communication of SFI Commitment
(NSF-ISR Policy or Company procedure, if applicable) CI #1 is not fully implemented. There is currently no policy of commitment to the SFIS (see ORR-2003-01) so there is no communication.

Major Nonconformity

Minor Nonconformity

Company Representative's Signature: (Note: Copy signed by Robert Mather, WIDNR, is on file at NSF.) _____

IF NECESSARY, PLEASE ATTACH A SEPARATE REPORT ADDRESSING THE FOLLOWING THREE ITEMS:

1) ROOT CAUSE ANALYSIS BY COMPANY – Include potential causes and assurance that problem does not exist in other areas.

Since the Wisconsin DNR hasn't yet committed to SFI certification, there has been no policy to communicate.

2) CORRECTIVE ACTION BY COMPANY – Based on the Root Cause Analysis, the following action has been planned/taken to correct the problem. Please include expected completion date.

Wisconsin DNR will present a resolution for adoption by the Natural Resources Board recognizing the Department's commitment to the SFIS. The policy would be documented in the appropriate DNR Manual Code Handbooks and communicated to Department staff, partners and stakeholders by memoranda and news releases. The Forestry Leadership Team would deal with the policy as an action item. Training will be provided to staff members to explain the Department's commitment to forest certification and their roles in implementing the program.

3) PREVENTIVE ACTION BY COMPANY – Based on the Root Cause Analysis, the following action has been planned/taken to correct the problem. Please include expected completion date.

Communication of SFIS principles will be included as a topic for the Regional program reviews, which are completed across the state in a three-year cycle.

Company Representative's Signature: (Note: Copy signed by Robert Mather, WIDNR, is on file at NSF.) Date: _____

AUDITOR REVIEW OF COMPANY'S PLAN:

Comments: Plan meets identified Minor non-conformance; also helps with ORR-2003-01

STATUS: OPEN AUDITOR/DATE: Mike Ferrucci 1-26-04

AUDITOR REVIEW OF COMPANY'S COMPLETED ACTION:

Comments: 2004 SA: Although progress has been made the plan allowed for work during the 3-year recertification cycle. The SA was optional and occurred before the one-year time period. This CAR is to be reviewed during next Surveillance Audit,

scheduled for the summer of 2005. STATUS: OPEN AUDITOR/DATE: Mike Ferrucci, 10-14-04

LEGEND FOR STATUS: OPEN = CA Plan Accepted CLOSED = CA implemented, verified & accepted
REJECTED = C/A Plan or Implementation rejected

Corrective And Preventive Action Request (CAR)

Company/Location: <u>Wisconsin DNR, Madison, WI</u>	Date: <u>10-17-03</u> FRS # <u>1Y941</u>
Auditor: <u>Mike Ferrucci</u>	Finding Number: <u>same</u>
Location of Finding: <u>Office, Madison WI</u>	CAR Number: <u>ORR-2003-03</u>
Discussed with: <u>WI DNR Audit Team</u>	Previous CAR Number/Date: _____

AUDITOR FINDING: Standard Number and Clause: 4.3.1.1.1 CI #2 "Report annually"

(NSF-ISR Policy or Company procedure, if applicable) There are currently no past surveys that have been completed as WI DNR is a new Program Participant. WI DNR does many other "non-SFI" activities to report on their progress in "fulfilling their commitment to sustainable forestry".

Major Nonconformity

Minor Nonconformity

Company Representative's Signature: (Note: Copy signed by Robert Mather, WIDNR, is on file at NSF.)

IF NECESSARY, PLEASE ATTACH A SEPARATE REPORT ADDRESSING THE FOLLOWING THREE ITEMS:

1) ROOT CAUSE ANALYSIS BY COMPANY – Include potential causes and assurance that problem does not exist in other areas.

Wisconsin DNR has not previously been certified by SFI, consequently we have not completed the annual SFI survey questionnaire for the State Forests.

2) CORRECTIVE ACTION BY COMPANY – Based on the Root Cause Analysis, the following action has been planned/taken to correct the problem. Please include expected completion date.

The WDNR Division of Forestry will pursue development and implementation of a MOU between the Division and the Sustainable Forestry Board. The MOU will outline the Division's commitment to SFI, including the agreement to promptly complete and respond to the annual SFI survey for our State Forests. The MOU will be completed within one year's time.

3) PREVENTIVE ACTION BY COMPANY – Based on the Root Cause Analysis, the following action has been planned/taken to correct the problem. Please include expected completion date.

If the decision is made to follow through with SFI certification, WDNR will complete the corrective action listed above.

Company Representative's Signature: (Note: Copy signed by Robert Mather, WIDNR, is on file at NSF.) Date: 01-16-04

AUDITOR REVIEW OF COMPANY'S PLAN:

Comments: Minor programmatic issue easily resolved by proposed actions.

STATUS: OPEN AUDITOR/DATE: Mike Ferrucci 1-26-04

AUDITOR REVIEW OF COMPANY'S COMPLETED ACTION:

Comments: 2004 SA: The SA was optional and occurred before the one-year time period, and prior to the timing of the SFI Annual Survey. This CAR is to be reviewed during next Surveillance Audit, scheduled for the summer of 2005

STATUS: OPEN AUDITOR/DATE: Mike Ferrucci, 10-14-04

LEGEND FOR STATUS: OPEN = CA Plan Accepted **CLOSED** = CA implemented, verified & accepted
REJECTED = C/A Plan or Implementation rejected

Corrective And Preventive Action Request (CAR)

Company/Location: <u>Wisconsin DNR, Madison, WI</u>	Date: <u>10-17-03</u> FRS # <u>1Y941</u>
Auditor: <u>Mike Ferrucci</u>	Finding Number: <u>same</u>
Location of Finding: <u>Office, Madison WI</u>	CAR Number: <u>ORR-2003-04</u>
Discussed with: <u>WI DNR Audit Team</u>	Previous CAR Number/Date: _____

AUDITOR FINDING: Standard Number and Clause: 4.4.4.1.1 CI#2 and CI #3

(NSF-ISR Policy or Company procedure, if applicable) WI DNR has a fully functioning management system that includes and drives "Continual Improvement". This system does not currently include "SFI Objectives & Performance Measures" nor "continuing SFI conformance".

Major Nonconformity

Minor Nonconformity

Company Representative's Signature: (Note: Copy signed by Robert Mather, WIDNR, is on file at NSF.) _____

IF NECESSARY, PLEASE ATTACH A SEPARATE REPORT ADDRESSING THE FOLLOWING THREE ITEMS:

1) ROOT CAUSE ANALYSIS BY COMPANY – Include potential causes and assurance that problem does not exist in other areas.

Since the Wisconsin DNR hasn't yet committed to SFI certification, there has been no reason to incorporate it in the management system.

2) CORRECTIVE ACTION BY COMPANY – Based on the Root Cause Analysis, the following action has been planned/taken to correct the problem. Please include expected completion date.

Within the first year of committing to SFI certification, the Department will adapt its internal monitoring system to include SFI Objectives and Performance Measures and continuing SFI conformance. The procedures will assure that the appropriate technical specialists (wildlife biologists, BMP specialists, etc.) are included on the State Forest audit teams. Currently, State Forest audits are required in any year that harvests exceed \$100,000 in value (which includes most of the properties), but two years is the longest cycle.

3) PREVENTIVE ACTION BY COMPANY – Based on the Root Cause Analysis, the following action has been planned/taken to correct the problem. Please include expected completion date.

The policy to include SFI conformance in the State Forest audits will be added to the Operations Handbook.

Company Representative's Signature: (Note: Copy signed by Robert Mather, WIDNR, is on file at NSF.) Date: _____

AUDITOR REVIEW OF COMPANY'S PLAN:

Comments: Plan covers identified minor non-conformance; linkage into ongoing management review is critical.

STATUS: OPEN

AUDITOR/DATE: Mike Ferrucci 1-26-04

AUDITOR REVIEW OF COMPANY'S COMPLETED ACTION:

Comments: 2004 SA: Although some progress has been made the approved plan allowed for one year to complete corrective actions. The SA was optional and occurred before the one-year time period. This CAR is to be reviewed during next Surveillance Audit, scheduled for the summer of 2005

STATUS: OPEN AUDITOR/DATE: Mike Ferrucci, 10-14-04

LEGEND FOR STATUS: OPEN = CA Plan Accepted **CLOSED** = CA implemented, verified & accepted
REJECTED = C/A Plan or Implementation rejected

Corrective And Preventive Action Request (CAR)

Company/Location: <u>Wisconsin DNR, Madison, WI</u>	Date: <u>11-12-03</u> FRS # <u>1Y941</u>
Auditor: <u>Mike Ferrucci</u>	Finding Number: <u>same</u>
Location of Finding: <u>Flambeau River State Forest Sale 585</u>	CAR Number: <u>RA-2003-05</u>
Discussed with: <u>WI DNR Audit Team</u>	Previous CAR Number/Date: _____

AUDITOR FINDING: Standard Number and Clause: 4.1.2.1.4- CI 4 & 4.1.3.1.1 P.M. and CI 1

(NSF-ISR Policy or Company procedure, if applicable) At FRSF Sale 585 the portion of the access road owned by Wisconsin is rutted, eroding, and being abused by uncontrolled access by recreational users, exacerbated by use during an active logging job. The definition for a permanent all-season forest road applies, as there is no closure. This road bisects a depression wetland. The road does not have BMP recommended drainage or surface: BMP Manual provisions, page 29: “surface the road with gravel where steep grades, erodible soils, or high traffic volume make the potential for surface erosion significant” ; and drainage structures “where necessary to protect water quality, install road drainage structures to remove storm water or seepage from the road surface...”. 4.1.3.1.1 CI 1 “Written policy to implement state BMPs during all phases of management activities.” is not in place.

Major Nonconformity

Minor Nonconformity

Company Representative’s Signature: (Note: Copy signed by Robert Mather, WIDNR, is on file at NSF.)

IF NECESSARY, PLEASE ATTACH A SEPARATE REPORT ADDRESSING THE FOLLOWING THREE ITEMS:

1) ROOT CAUSE ANALYSIS BY COMPANY – Include potential causes and assurance that problem does not exist in other areas.

This particular road has a long-term history of use by recreational enthusiasts. In previous years more funding was available to address maintenance needs and efforts were made to close the road to public use. The public reacted very negatively to this proposal and applied political pressure to keep the road open. Later, funding for maintenance projects on the state forests were eliminated by state budget cuts.

2) CORRECTIVE ACTION BY COMPANY – Based on the Root Cause Analysis, the following action has been planned/taken to correct the problem. Please include expected completion date.

Within one year, funding from operational funds will be identified to pay for the critical maintenance activities on this road. In addition, the FRSF will explore opportunities to reshape this particular access road, reroute portions of the road, reinstall water diversion structures, and surface a portion of the road with gravel later this year if current funding and staffing resources are available. The Division will assist the FRSF with arranging for funds necessary to support the expense to reshape and surface year round roads. The FRSF will attempt to review existing road inventory and establish a maintenance rotation to review and to repair year round season roads. The Division will arrange for a road BMP training session for State Forest managers to reinforce BMP standards and share road development practices.

The long-term plan to address roads is to restore and seek funding for maintenance projects on state forests through a 2005-07 Budget Initiative.

3) PREVENTIVE ACTION BY COMPANY – Based on the Root Cause Analysis, the following action has been planned/taken to correct the problem. Please include expected completion date.

We are currently in the process of monitoring the implementation and effectiveness of all BMPs on state forests to assess BMP performance across state forests. We specifically have contracted with a private vendor who is in the process of monitoring 28 randomly selected sites. This monitoring approach will identify state forest BMP concerns.

The issue of public access will continue to be addressed through the Master Planning process. The Master Plan for the Flambeau River State Forest is scheduled to be rewritten in the next five years. The condition of haul roads will be watched and areas of concern will be addressed as needed. The next Master Plan will include a section on Access planning and control of motorized vehicles. Development of this Plan is 3-5 years down the road. This Access Management Plan will include public involvement with protecting water quality while still providing recreational opportunities for the general public. Additional long-term efforts include developing a state forest based road inventory system that establishes a maintenance rotation to review, maintain and repair the state forest road infrastructure. As previously mentioned, funding will be requested in the 2005-2007 biennium.

Company Representative's Signature: (Note: Copy signed by Robert Mather, WIDNR, is on file at NSF.) Date: _____

AUDITOR REVIEW OF COMPANY'S PLAN:

Comments: Amount of increased funding, fully documented, will influence the need for on-site visits to confirm corrective actions.

STATUS: OPEN AUDITOR/DATE: Mike Ferrucci 1-26-04

AUDITOR REVIEW OF COMPANY'S COMPLETED ACTION:

Comments: 2004 SA: excellent and thorough repair/reconstruction on Payne's Farm Road, meeting all BMPs. Several action items remain – to be reviewed during next Surveillance Audit, scheduled for summer of 2005.

STATUS: OPEN AUDITOR/DATE: Mike Ferrucci 10-14-04

LEGEND FOR STATUS: OPEN = CA Plan Accepted

CLOSED = CA implemented, verified & accepted

REJECTED = C/A Plan or Implementation rejected



Audit Matrix